PATENT Docket No.

DECLARATION FOR UTILITY PATENT APPLICATION

AS A BELOW-NAMED INVENTOR, WE HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DRUG FOR THE PROPHYLAXIS AND/OR TREATMENT OF BREAST CANCER, COMPRISING A STEROIDAL AROMATASE INHIBITOR

the specification of which is attached hereto unless the following box is checked:

- was filed on September 18, 2000 as United States Application Serial No. 09/646,355 /
- as amended by the Preliminary Amendment filed concurrently with the 図 application on September 18, 2000.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE

WE acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

WE hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?	
PCT/EP99/01374	EP	03/03/99	ZYes	□No
EP 98104949.7	EP	03/18/98 /	I Yes	□No
	<u>.</u>		□Yes	□No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(3) hated below:

dr-143388

Ü

17.7 Geeff afford

ĮĮ. 3

11

-

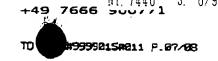
==

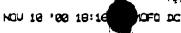
]1

Emplangszeit 11. Yov. 0:13

6/0 3 1881 Empfangszeit OFFWAR DEFEN WOFT 144:01 VON 31

14 Rev. 2000





Application Serial No.	Filing Date

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112. We acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

	Application Serial No.	Filing Date	Status		
-			□ Patented	Pending	□ Abandone4
Ĺ					□Abandoned

We hereby appoint the following attameys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Mani Adeli (Reg No. 39,585) Erwin J. Basinski (Reg No. 34,773) Paula A. Borden (Reg No. 42,344) Barry E. Bretschneider (Reg No. 28,055) Alan W. Cannon (Reg No. 34,977) Robert K. Carpa (Reg No. 39,933) Niki D. Cox (Reg No. 42.446) E. Victor Donahue (Reg No. 35,492) Scan M. Fitzgerald (Reg No. 42,537) Hector Gallegos (Reg No. 40,614) Charles D. Holland (Reg No. 35,196) Richard D. Jordan (Reg No. 33,519) Ararat Kapouytian (Reg No. 40,044) Antoinene F. Konski (Reg No. 34,202) Susan K. Lehnhardt (Reg No. 33,943) Shmuel Livnat (Reg No. 33,949) Harry J. Macey (Reg No. 32,818) Gladys H. Monroy (Reg No. 32,430) Dahna S. Pasternak (Reg No. 41,411) William C. Revelos (Rog No. 42,101) J. Michael Schiff (Reg No. 40,253) Lee K. Tan (Reg No. 39,447) Thomas G. Wiseman (Reg No. 35,046) Frank Wu (Reg No. 41, 386) Paul J. Riley (Reg. No. 38,596 Please direct all communications to:

Sanjay Bagade (Reg No. 42,280) Frank P. Becking (Reg No. 42,309) Sean Brennan (Reg No. 39,917) Nicholas Buffinger (Rog No. 39, 124) Mark R. Carter (Reg No. 39,131) Thomas E. Ciotti (Reg No. 21,013) Raj S. Davé (Reg No. 42,465) Stephen C. Durant (Rug No. 31,506) Cheryl L. Franke (Reg No. P-44, 113) Douglas Hodder (Reg No. 41,840) Madeline L Johnston (Reg No. 36,174) Cindy S. Kaplan (Reg No. 40,043) Phanesh B. Koncru (Reg No. 40,053) Jung-Hua Kuo (Reg No. 41,918) Won Liu (Reg No. 32,822) David C. Lundmark (Reg No. 42,815) Thomas D. Mays (Reg No. 34,524) Kare H. Murashigo (Reg No. 29,959) Catherine M. Polizzi (Reg No. 40, 130) Robert Saltzberg (Reg No. 36,910) Debra A. Shetka (Reg No. 33,309) E. Thomas Wheelock (Reg No. 28,825) Katen K. Wong (Reg No. P-44,409) Kevin R. Spivak (Reg. No. 43,148)

2

Docket No.

Empiangszeit 11. Nov. 0:13

all. 'S 1981 Empiangszeit

16. Nov. 10:44## THOM SATER EMATO

SER HORE WON ALS

Mary Will

31

Ü

į

,,,,

3

:3

===

= 4

j1

=1

19999015#011 P.08/08

NOU 10 '00 19:16

DFO DC

Barry P. Breischneider Marrison & Foerster w 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888

Please direct all telephone calls to Barry E. Bretschneider at (202) 887-1545.

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are balieved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Randonce: Suborechin 17, 22529 Handary, Germany Citizenship: Germany Ham BURG

Part Office Address: Submarchon 17, 22579 Hamberg, Germany

Residence: In der Wichre 13, 7927 | St. Peter, Germany

Ciudandip: Garmany -

Pass Office Address In der Wichre 13, 79271 St. Poter, Germany

Date

1

The first of the first ?

= = = : 31 Nume:

Residence:

Citizenships

Pos Office Address:

Darc

Name:

Residence:

Cionarahip:

POST OFFICE Address:

Date

Name:

Residence:

Citizanship:

POS Office Address:

3

Docket No.

Emplangazeit

11. Nov. 0:13 ·

** TOTAL PAGE.08 **





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT OF PATENTEE:

SERIAL OF PATENT NO .:

FILED or ISSUEDA

THILL

31

ji

1,1

4 21

== g1 SMALL ENTITY DECLARATION

[] FOR INDEPENDENT INVENTOR(3)

As a below-named inventor, I hereby declare that I am an independent inventor who (I) has not assigned, granted, conveyed, or licemed, and (2) is conducted obligation under constact or law, to enign, grant, convey, or license, any rights in the invention, to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization, as defined in 37 CFB 1.9.

[X] FOR SMALL BUSINESS CONCERN

S.W. Patentverwertungs GmbH I hereby declare that which qualifies at a small business concern at defined in \$1.9(d) - namely, (I) whose number of employees, including those of its affiliates, does not sursed 500 persons; and (2) which has not assigned, granted, conveyed, or licensed, and is under so obligation unider contract or law to entign, grand, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any courters which would not qualify as a small business outcasts or a comprofit organization under this section; and that the exclusive rights to the invention have been conveyed to and remain with the shove identified small business concern.

I further declare that all statements made hereix of my own knowledge are tree and all statements made on information and belief are believed to be trust and further, that there statements were made with the introducing that willful, faire statements and the like, so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jesperdize the validity of the patent application or any parent issuing thereon.

Each of the undersigned hereby grant the firm of Morrison & Foerster, LLP 2000 Pennsylvania Avenue, N.W., Washington, D.C. 20006-1888

the power to insert in this Small Entity Declaration any further identification which may be necessary or decirable to comply with the rules of the U.S. Patent and Trademark Office for filing and acceptance of this Declaration.

INVENTOR(S)	SMALL BUSINESE CONCERNI
Name: ALFRES CUMIST Date: 15,7222	Name: WATENIVERW Title: Gh2H
Name: GENRICH WIELLIS Date: Ngv. 15.2000	Date: 15. 2002
Name: Date:	Name Titles Date 11-15-30
Name:	

: DEL Empfangszeit

16. Nov. 10:44# 770W 91770 FAVTO